

DEVIN A. DONOHUE (SBN 190030)  
ddonohue@cozen.com  
BRETT D. WATSON (SBN 203183)  
bwatson@cozen.com  
COZEN O'CONNOR  
601 S. Figueroa Street, Suite 3700  
Los Angeles, CA 90017  
Telephone: 213.892.7900  
Fax: 213.892.7999

Attorneys for Petitioner  
UBS FINANCIAL SERVICES INC.

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

UBS FINANCIAL SERVICES, INC., ) Civil Case No. 2:21-cv-01222-KJM-AC

Petitioner, ) **JUDGMENT**

vs. )

DANE ALVIN BRINKMAN, an )  
individual, )

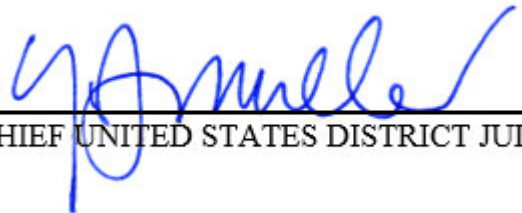
Respondent. )

1 IT IS HEREBY ADJUDGED and DECREED that judgment is entered in  
2 conformity with the arbitration award (the “Award”) issued by the Financial  
3 Industry Regulatory Authority (“FINRA”) Dispute Resolution arbitration panel in  
4 the binding arbitration between Petitioner UBS Financial Services Inc. (“UBS”) and  
5 Respondent Dane Alvin Brinkman (“Brinkman”), entitled *UBS Financial Services*  
6 *Inc. v. Dane A. Brinkman*, FINRA Case No. 08-03708, as follows:

- 7 1. Judgment is awarded in favor of UBS and against Brinkman in the  
8 amount of the Award in the sum of \$116,596.70;
- 9 2. Brinkman shall pay UBS post-judgment interest at the legal rate  
10 pursuant to 28 U.S.C. Section 1961 until the judgment is fully paid; and
- 11 3. Brinkman is liable for and shall pay UBS reasonable attorneys’ fees  
12 and costs incurred by it in its continuing efforts to collect on the Award  
13 and/or the Judgment entered by this Court, from the date of Judgment  
14 until the total judgment amount is fully paid.

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16 IT IS SO ADJUDGED and DECREED.

17 DATED: December 21, 2021.

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20 CHIEF UNITED STATES DISTRICT JUDGE  
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